NEW YORK STATE DEPARTMENT OF ENVIRONMENTAL CONSERVATION

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> Catching the Al Wave ECOS Fall Meeting September 5, 2024

Current New York State Policy:

As part of Governor Kathy Hochul's <u>2024 State of the State initiatives</u>, she directed the New York State Office of Information Technology Services to issue the first state-wide policy concerning artificial intelligence, <u>Acceptable Use of Artificial Intelligence</u> <u>Technologies</u>.

The policy defines AI "as a machine-based system that can, for a given set of humandefined objectives, make predictions, recommendations, or decisions influencing real or virtual environments."

Considerations for the use of Al include:

- Human Oversight
- Fairness, Equity, and Explain Ability
- Transparency
- Risk Assessment Results
- Controls for Data Privacy
- Controls for Information Security

Agency leadership (operational, legal, ethics) must approve the adoption of Al systems. Agencies must document each Al system in an inventory and have an Information Owner for each system. Automated final decision systems are not permitted.

The policy includes examples in Appendix A of acceptable and unacceptable sample uses of Al.